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U.S. Patent and Trad

PTO/SB/05 (11-00) e through 10/31/2002 OMB 0651-0032

August 7, 2001

Date

for use through 10/31/2002, OMB 0651-0032 Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.			46739/250563
First In	ventor	Vind	cent Bryan
Title	Improve	d Meti	hod and Apparatus for Stereotactic Implantation

EL603000828US Express Mail Label No. (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) **Assistant Commissioner for Patents** APPLICATION ELEMENTS ADDRESS TO: Box Patent Application Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) 1. 🛛 Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. 2. 🛛 (if applicable, all necessary) See 37 CFR 1.27. a.

Computer Readable Form (CRF) [Total Pages 183 з. 🔯 Specification Specification Sequence Listing on: (preferred arrangement set forth below) i. CD-ROM or CD-R (2 copies); or - Descriptive title of the Invention - Cross References to Related Applications ii. 🗌 paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, **ACCOMPANYING APPLICATIONS PARTS** or a computer program listing appendix - Background of the Invention Assignment Papers (cover sheet & document(s)) - Brief Summary of the Invention 9. 🔲 - Brief Description of the Drawings (if filed) 37 C.F.R.§3.73(b) Statement Power of 10. 🔲 (when there is an assignee) - Detailed Description Attorney - Claim(s) - Abstract of the Disclosure English Translation Document (if applicable) 11. 🔲 Copies of IDS 12. 🔲 Information Disclosure [Total Sheets 82 Drawing(s) (35 U.S.C.113) Citations Statement (IDS)/PTO-1449 [Total Pages | 5 5. Oath or Declaration 13. 🔲 **Preliminary Amendment** a. Newly executed (original or copy) Return Receipt Postcard (MPEP 503) 14. 🔯 b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) Certified Copy of Priority Document(s) 15. 🔲 (if foreign priority is claimed) i. DELETION OF INVENTOR(S) Request and Certification under 35 U.S.C. 122 Signed statement attached deleting inventor(s) 16. 🔲 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: of prior application No: 09/783,860 / 09/783,910; ☑ Continuation-in-part (CIP) ☐ Continuation □ Divisional 60/265,218; 60/223,863 Group / Art Unit: Prior application information: Examiner For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS or

Correspondence address below □ Customer Number or Bar Code Label r code label here) John S. Pratt

Name Kilpatrick Stockton LLP PATENT TRADEMARK OFFICE 1100 Peachtree Street Address **Suite 2800** 30309 GA Zip Code City Atlanta State 404.815.6555 Fax 404.815.6500 Telephone Country USA Registration No. (Attomey/Agent) Kristin D. Mallatt Name (Print/Type)

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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	Complete If Known						
FEE TRANSMITTAL	Application Number						
for FY 2001	Filing Date	August 7, 2001					
	First Named Inventor	Vincent Bryan					
Patent fees are subject to annual revision.	Examiner Name						
	Group / Art Unit						
(6) 0704	All Deplet Ma	46739/250562					

TOTA	L AMOU	INT OF	PAY	MENT	(\$)	2721				Attorney Docket No.			40739/230308			
		METHOD	OF P	AYMENT (chec	k one)							FEE C	ALCULATION (continued)		
1. 🛛		The Co	mmiss	ioner is her and credit	eby a	authorized				3. ADD	ITIONAL Large Entity	FEES	Small Entity			
Depo										Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Acco Numi		11-0855	•							105	130	205	65	Surcharge - late filing fee or oath		
										127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Depo Acco		KILPAT	RICK	sтоскто	N LLI	>				139	130	139	130	Non-English specification		
Name Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27 Payment Enclosed:									147	2,520	147	2,520	For filing a request for reexamination			
										112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
See 37 CFR 1.27									113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
2. Payment Enclosed:										115	110	215	55	Extension for reply within first month		
⊠ (Check	☐ Credi	t card				Othe	r		116	390	216	195	Extension for reply within second month		
				ALCULATI						117	890	217	445	Extension for reply within third month		
1. È	ASIC FIL		EE C	ALCULATI	UN					118	1,390	218	69 <u>5</u>	Extension for reply within fourth month		
Large	Entity S	imali Er	ntity							128	1,890	228	945	Extension for reply within fifth month		
Fee			ee	Fee Descr	iptio	n	_			119	310	219	155	Notice of Appeal		
Code	(*)	code (\$			_			ee Paid	1	120	310	220	155	Filing a brief in support of an appeal		
101				Utility filing			35	55		121	270	221	135	Request for oral hearing		
106 107			60 45	Design filir Plant filing	-	•			$\frac{1}{2}$	138	1,510	138	1,510	Petition to institute a public use proceeding		
108	710 2	08 3	55	Reissue fil	ing fe	е			1 .	140	110	240	55	Petition to revive - unavoidable		
114	150 2	14 7	5	Provisiona	filling	g fee]	141	1,240	241	620	Petition to revive – unintentional		
									,	142	1,240	242	620	Utility issue fee (or reissue)		
		SUB	TOTA	L (1)			(\$	355	J	143	440	243	220	Design issue fee		
2. EXTR	A CLAIM	FEES								144	600	244	300	Plant issue fee		
				Extra	F	ee from		Fee		122	130	122	130	Petitions to the Commissioner		
Total Claim	ns 338	-20**	=	Claims 318	x [pelow 9	=	Paid 286]	123	130	123	130	Petitions related to provisional applications		
ndependen Claims	t 55	-3**	=	52	×	40	=	2080		126	180	126	180	Submission of Information Disclosure Stmt		
Multiple Dependent	L=		•	_	x [=	0		581	40	581	40	Recording each patent assignment per property (times number of properties)		
Large Fee	Entity Fee	Small Fee	Entit Fee	y Fee De:	- arin	tion				146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
Code	(\$)	Code	(\$)							149	710	249	355	For each additional invention to be		
103	18													examined (37 CFR § 1.129(b))		
				•						179	710	279	355	Request for Continued Examination (RCE)		
				** Reiss	Multiple dependent claim, if not paid ** Reissue independent claims over					169	900	169	900	Request for expedited examination		
103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid							of a design application									
			s	UBTOTAL		(\$) 2366	3			Other fe	e (specify	y)	-			
						l	*Reduc	ed by Ba	sic Filing	g Fee Pa	aid SUBTOTAL (3) (\$) 0					
**or num	ber previou	ısly paid, if	greate	r; For Reissu	ies, s	ee above								<u></u>		

SUBMITTED BY Complete (if applicable)										
Name (Print/Type)	Kristin D. Mallatt	Registration No. Attorney/Agent)	Registration No. Attorney/Agent) 46,895							
Signature	Kristin	Mallay		Date	August 7, 2001					

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Vincent Bryan, et al.

Serial No.:

Examiner:

Filed:

August 7, 2001

Group Art Unit:

For:

IMPROVED METHOD AND APPARATUS FOR

STEREOTACTIC IMPLANTATION

Box Patent Application Assistant Director for Patents Washington, D.C. 20231

CERTIFICATE OF MAILING (37 C.F.R. 1.10)

Sir:

I hereby certify that this Patent Application Transmittal, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10, Mailing Label No. EL603000828US addressed to Box Patent Application, Assistant Director for Patents, Washington, D.C. 20231.

Kustin Mallary
Kristin D. Mallatt

Date: August 7, 2001

KILPATRICK STOCKTON LLP Suite 2800, 1100 Peachtree Street Atlanta, Georgia 30309-4530 (404) 815-6500 Attorney Docket No. 46739/250563